

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Theodore I Kamins et al

Confirmation No.: 4949

Application No.: 10/029583

Examiner: McDonald, Rodney

Filing Date: Dec 20, 2001

Group Art Unit: 1753

Title: Method Of Forming One Or More Nanopores For Aligning Molecules For Molecular Electronics

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ( ) under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- (X) under 37 CFR 1.97 (c) together with either a:  
(X) Statement under 37 CFR 1.97(e), or  
( ) a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ( ) under 37 CFR 1.97 (d) together with a:  
( ) Statement under 37 CFR 1.97(e)(1) or (2), and  
( ) a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$0.00 . At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

( ) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

(X) I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450. Date of Deposit: 11/04/2003  
OR

( ) I hereby certify that this paper is being transmitted to the Patent and Trademark Office facsimile number \_\_\_\_\_ on \_\_\_\_\_  
Number of pages: \_\_\_\_\_

Typed Name: Ann Jesus

Signature: 

Respectfully submitted,

Theodore I Kamins et al

By 

Denise A Lee

Attorney/Agent for Applicant(s)  
Reg. No. 35,931

Date: 11/03/2003

Telephone No.: 650 236 4868

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Theodore I Kamins et al

Confirmation No.: 4949

Application No.: 10/029583

Examiner: McDonald, Rodney

Filing Date: Dec 20, 2001

Group Art Unit: 1753

Title: Method Of Forming One Or More Nanopores For Aligning Molecules For Molecular Electronics

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

STATEMENT UNDER 37 CFR 1.97(e)

Sir:

The undersigned hereby certifies that either:

- (X) Each item of information contained in the Information Disclosure Statement was cited for the first time in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or
- ( ) No item of information contained in the Information Disclosure Statement
- was cited in a communication from a foreign patent office in a counterpart foreign application, and
  - to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Theodore I Kamins et al

By Denise A. Lee

Denise A Lee

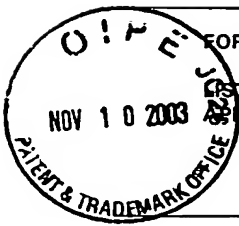
Attorney/Agent for Applicant(s)  
Reg. No. 35,931

Date: 11/03/2003

Telephone No.: 650 236 4868

## PATENT APPLICATION

Sheet 1 of 1



FORM PTO-1449

 U.S. DEPARTMENT OF PATENTS AND PUBLICATIONS FOR  
 APPLICANT'S INFORMATION DISCLOSURE  
 STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10018774-1

APPLICATION NO.

10/029,583

CONFIRMATION NO.

4949

APPLICANT

Theodor I Kamins t al

FILING DATE

12/20/2001

GROUP

1753

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	6,515,325	02/04/2003	Farnworth et al	
	1B	5,569,355	10/29/1996	Then et al	
	1C				
	1D				
	1E				
	1F				
	1G				
	1H				
	1I				
	1J				
	1K				

 RECEIVED  
 NOV 18 2003  
 TC 1700

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L	JP2003053699	02/26/2003	Nikon Corp		<input checked="" type="checkbox"/>
	1M	EP0731490	09/11/1996	Ebara Corporation		
	1N					
	1O					
	1P					

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	Y
	1R	
	1S	

EXAMINER

DATE CONSIDERED